

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print Type)

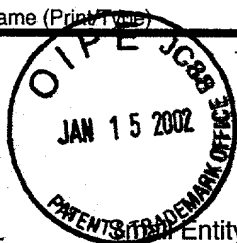
Donna Macedo

Signature

D. Macedo

Date

11/5/01



TRANSMITTAL

COPY OF PAPERS
ORIGINALLY FILED

☒ Small Entity

☐ Large Entity

Application Number

09/942,032

Confirmation Number

7285

Filing Date

August 28, 2001

First Named Inventor

Thomas T. Yamashita

Examiner

Unassigned

Group Art

3643

Attorney Docket No.

YAMA001CON9

ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims

No. of claims as
filed or after
amendment

Most claims
previously
paid for

Extra Claims

Rate

Totals

Total

13

20

0

\$

-

Independent

1

3

0

\$

-

Multiple

Total Extra Claim Fees

\$

-

Fee

Applicants Petition for an
Extension of time from

to

A _ month extension was previously filed
and paid for thereby reducing the basic
fee

☒ Response to File Missing Parts (with copy of formalities letter)

☒ Filing Fee

Fee \$370.00

☒ Executed Declaration

Pages

2

Surcharge Fee \$65.00

☒ Other

Preliminary Amendment

Fee

Fee

Fee

Fee

Subtotal \$435.00

☒ Information Disclosure Statement

☒ PTO Form 1449

Pages

2

☐ Copies of Cited References

☐ Other

Fee

Subtotal \$ -

☐ Response to Notice to Comply (with copy of Notice to Comply)

☐ Sequence Listing Certification

☐ Paper Copy of Sequence Listing

Pages

☐ Diskette in computer-readable format

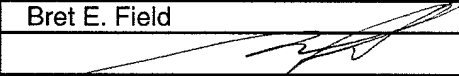
☐ Other

Fee

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee \$ -
		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		
COPY OF PAPERS ORIGINALLY FILED		
<input checked="" type="checkbox"/> Return Receipt Postcard		TOTAL FEES \$435.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	Bret E. Field		Registration No.	37,620	
Signature			Date	11-02-2001	
Firm Name	Bozicevic, Field & Francis LLP		Address	200 Middlefield Road, Suite 200	
City	Menlo Park	State	California	zip	94025
Telephone - Direct Dial	650-327-3400	Facsimile	650-327-3231		

Application No. 09/942,032 Attorney Docket No. YAMA001CON9 Page 2 of 2

A4

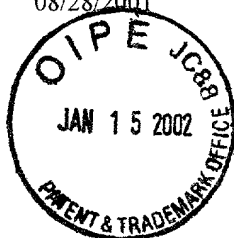


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,032	08/28/2001	Thomas T. Yamashita	YAMA001CON9

Bret E. Field
Bozicevic, Field and Francis LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025



COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 7285

FORMALITIES LETTER



OC000000006861598

Date Mailed: 10/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$756.
 - \$486 for 27 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1596.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice **MUST** be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]